Fairness and Delay in Heterogeneous Half- and Full-Duplex Wireless Networks

Tingjun Chen*, Jelena Diakonikolas†, Javad Ghaderi*, and Gil Zussman*

*Electrical Engineering, Columbia University

†Simons Institute for the Theory of Computing, UC Berkeley

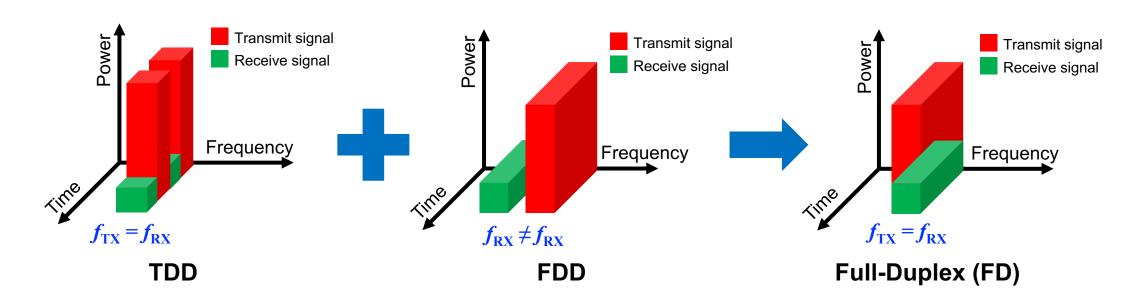
Asilomar Conference on Signals, Systems, and Computers
Oct. 31, 2018





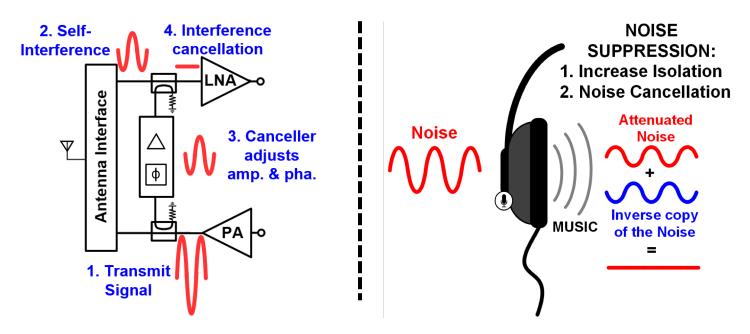
Full-Duplex Wireless

- Legacy half-duplex wireless systems separate transmission and reception in either:
 - Time: Time Division Duplex (TDD)
 - Frequency: Frequency Division Duplex (FDD)
- (Same channel) Full-duplex communication: simultaneous transmission and reception on the same frequency channel



Full-Duplex Wireless

- Benefits of full-duplex wireless:
 - Increased system throughput and reduced latency
 - More flexible use of the wireless spectrum and energy efficiency
- Viability is limited by self-interference
 - Transmitted signal is **billions** of times (10^9 or 90dB) stronger than the received signal
 - Requiring extremely powerful self-interference cancellation



How much is 90dB?



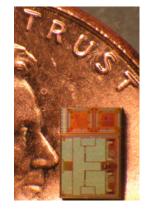


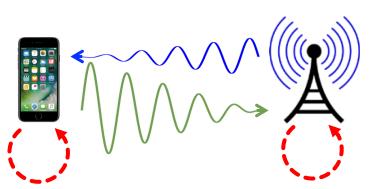
The Columbia FlexICoN Project



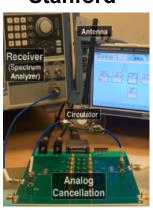
- <u>Full-Duplex</u> Wireless: From <u>Integrated</u> <u>Circuits</u> to <u>Networks</u> (FlexICoN)
 - FD transceiver/system development, algorithm design, experimental evaluation
 - Focus on IC-based implementations (in collaboration w/ the CoSMIC lab led by Prof. Harish Krishnaswamy)
 - Integration of full-duplex capability in the ORBIT and COSMOS testbeds

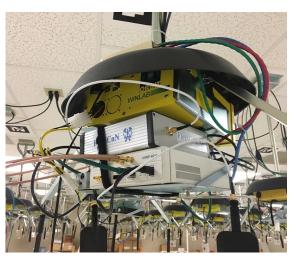
Columbia





Stanford







Compact IC-based full-duplex node suitable for small-form-factor/hand-held devices

A programmable 1st-generation full-duplex node installed in the open-access ORBIT testbed

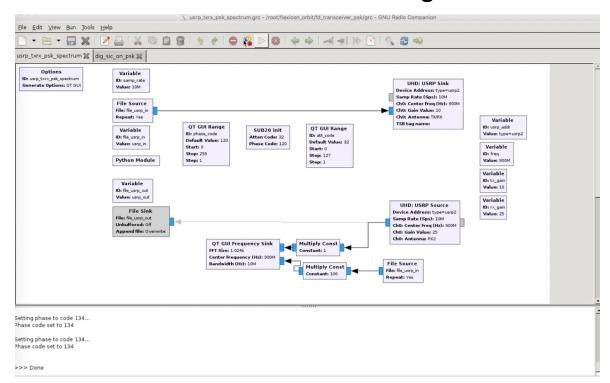
- <u>T. Chen</u>, J. Zhou, M. Baraani Dastjerdi, J. Diakonikolas, H. Krishnaswamy, and G. Zussman, "Demo abstract: Full-duplex with a compact frequency domain equalization-based RF canceller," in *Proc. IEEE INFOCOM'17*, 2017.
- <u>T. Chen</u>, M. Baraani Dastjerdi, G. Farkash, J. Zhou, H. Krishnaswamy, and G. Zussman, "Open-access full-duplex wireless in the ORBIT testbed," *arXiv:1801.03069v2 [cs.NI]*, May 2018. **Tutorials and code available online at ORBIT wiki and GitHub**

Full-Duplex Wireless in the ORBIT Testbed

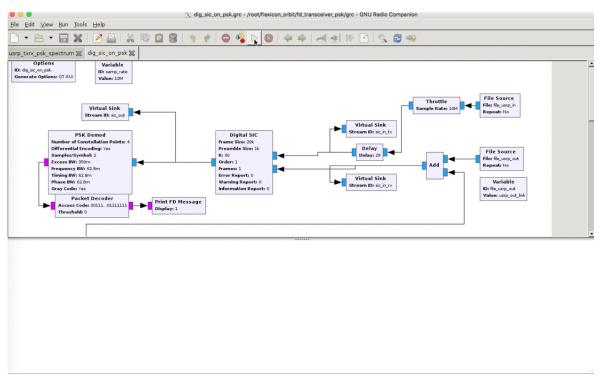


Two example demonstrations

Real-time RF canceller configuration



Packet-level full-duplex communication

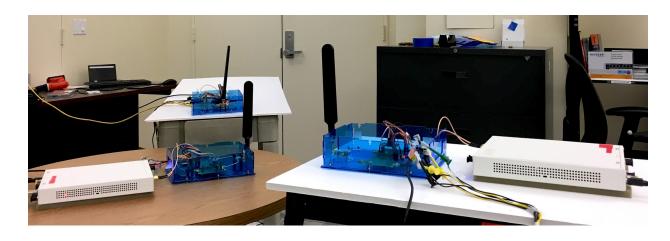


- <u>T. Chen</u>, J. Zhou, M. Baraani Dastjerdi, J. Diakonikolas, H. Krishnaswamy, and G. Zussman, "Demo abstract: Full-duplex with a compact frequency domain equalization-based RF canceller," in *Proc. IEEE INFOCOM'17*, 2017.
- <u>T. Chen</u>, M. Baraani Dastjerdi, G. Farkash, J. Zhou, H. Krishnaswamy, and G. Zussman, "Open-access full-duplex wireless in the ORBIT testbed," *arXiv:1801.03069v2 [cs.NI]*, May 2018. **Tutorials and code available online at ORBIT wiki and GitHub**

The Columbia FlexICoN Project



- <u>Full-Duplex</u> Wireless: From <u>Integrated</u> <u>Circuits</u> to <u>Networks</u> (FlexICoN)
 - FD transceiver/system development, algorithm design, experimental evaluation
 - Focus on IC-based implementations (in collaboration w/ the CoSMIC lab led by Prof. Harish Krishnaswamy)
 - Integration of full-duplex capability in the ORBIT and COSMOS testbeds



2nd-generation wideband full-duplex nodes

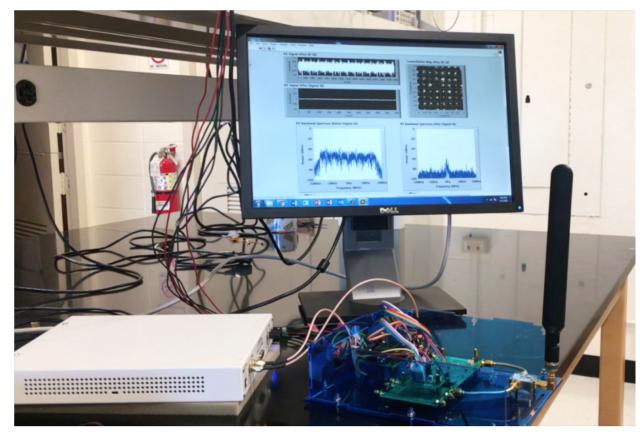


The city-scale PAWR COSMOS testbed in NYC

T. Chen, M. Baraani Dastjerdi, J. Zhou, H. Krishnaswamy, and G. Zussman, "Wideband full-duplex wireless via frequency-domain equalization: Design and experimentation," in *Proc. ACM MobiCom'19 (to appear)*, 2019.

2nd-Generation Wideband (Compact) Full-Duplex Node

NI LabVIEW



OFDM PHY w/ 20MHz
 real-time RF BW

 Modulation schemes: from BPSK to 64QAM

TX power: +10dBm

RX noise floor: -85dBm

Overall SIC: 95dB

RF SIC: 52dB

Digital SIC: 43dB

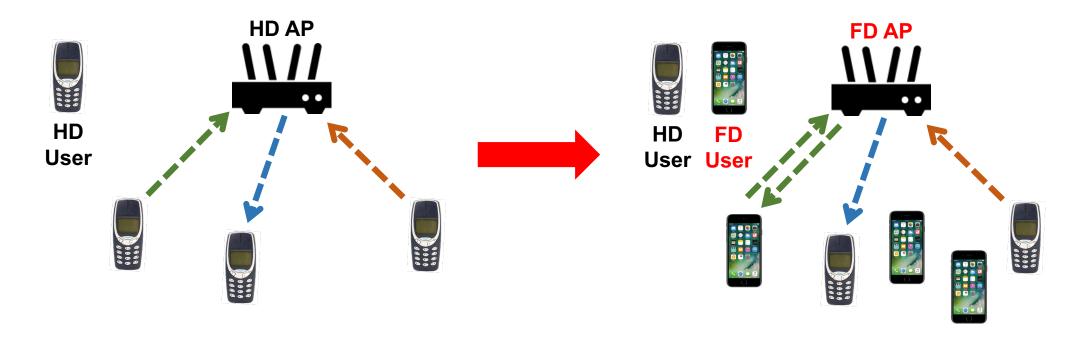
Adaptive RF canceller configuration

NI USRP SDR

<u>T. Chen</u>, M. Baraani Dastjerdi, J. Zhou, H. Krishnaswamy, and G. Zussman, "Wideband full-duplex wireless via frequency-domain equalization: Design and experimentation," in *Proc. ACM MobiCom'19 (to appear)*, 2019.

Motivation

• Gradual replacement and introduction of full-duplex (FD) devices into legacy half-duplex (HD) networks



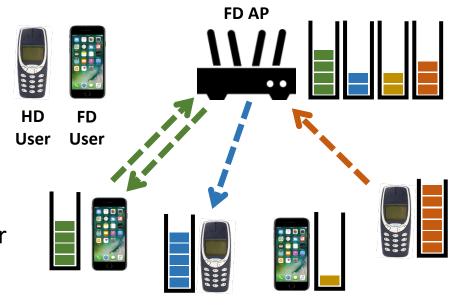
- <u>Goal</u>: Develop <u>efficient</u> and <u>fair</u> scheduling algorithms in such <u>heterogeneous</u> half-duplex and full-duplex networks with performance guarantees
- <u>T. Chen</u>, J. Diakonikolas, J. Ghaderi, and G. Zussman, "Hybrid scheduling in heterogeneous half- and full-duplex wireless networks," in *Proc. IEEE INFOCOM'18*, 2018.

Related Work

- Full-duplex radio/system design
 - Laboratory bench-top design: [Choi et al. 2010], [Duarte & Sabharwal, 2010], [Aryafar et al. 2012], [Bharadia et al. 2013/2014], [Kim et al. 2013/2015], [Korpi et al. 2014/2016], [Sayed et al. 2017]
 - Integrated circuits (small form-factor) design: [Zhou et al. 2014/2015], [Debaillie et al. 2015], [Yang et al. 2015], [Reiskarimian et al. 2016/2017], [Zhang et. al 2017/2018]
- Throughput gains from full-duplex:
 - [Xie & Zhang, 2014], [Nguyen et al. 2014], [Korpi et al. 2015], [Marasevic et al. 2017/2018]
- Cellular/WiFi scheduling:
 - [Duarte et al. 2014], [Yang & Shroff, 2015], [Alim et al. 2016], [Chen et al. 2015/2016], [Goyal et al. 2016/2017]
- CSMA/Scheduling in legacy half-duplex networks:
 - CSMA, Max-Weight, Greedy-Maximal, Longest-Queue-First, Q-CSMA, etc. [Kleinrock & Tobagi, 1975], [Tassiulas & Ephremides 1992], [Dimakis & Walrand, 2006], [Brzezinski et al. 2006], [Ni et al. 2012], [Birand et al. 2012], etc.
- Heterogeneous networks with both half- and full-duplex users were not considered
- Fairness between half- and full-duplex users was not considered
- Very little work provided performance guarantees (e.g., throughput optimality)

Model

- Time is slotted (t = 1, 2, ...)
- A single-channel, collocated, $\it heterogeneous$ network with one access point (AP) and $\it N$ users:
 - The AP and N_F users are full-duplex (FD)
 - $N_H = N N_F$ users are half-duplex (HD)
- N downlink queues at the AP and one uplink queue at each user
 - The AP has information about *all downlink queues*
 - A user has information about *only its uplink queue*
- Unit link capacity and perfect self-interference cancellation



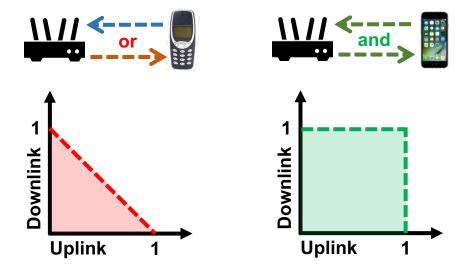
A heterogeneous network with $N_F = N_H = 2$

- Feasible schedules: a single half-duplex uplink or downlink, or a pair of full-duplex uplink and downlink
- A pair of full-duplex uplink and downlink are always scheduled at the same time

Problem Formulation

- Capacity Region: Convex hull of all feasible schedules
 - Avg. packet arrival rate
- For a legacy half-duplex user: $\lambda_{\mathrm{uplink}} + \lambda_{\mathrm{downlink}} \leq 1$
- For a full-duplex user:

$$\lambda_{\text{uplink}} \leq 1 \atop \lambda_{\text{downlink}} \leq 1$$
 $\max\{\lambda_{\text{uplink}}, \lambda_{\text{downlink}}\} \leq 1$



- A scheduling algorithm is *throughput-optimal* if it can keep the network queues stable for all arrival rate vectors in the interior of the capacity region
- <u>Goal</u>: Achieve maximum throughput in networks with <u>heterogeneous</u> half-duplex and full-duplex users in a distributed manner, while being <u>fair</u> to all the users and having favorable delay performance
- <u>Solution</u>: H-GMS A <u>H</u>ybrid scheduling algorithm that combines centralized <u>G</u>reedy <u>M</u>aximal <u>S</u>cheduling (GMS) and distributed Q-CSMA

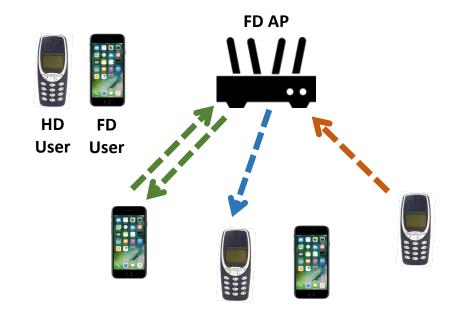
Introducing Full-Duplex Users – Everyone Gains!

• A *homogeneous* network with N=10 half-duplex users **vs.** A *heterogeneous* network with N_H half-duplex users and N_F full-duplex users ($N_H + N_F = N = 10$)

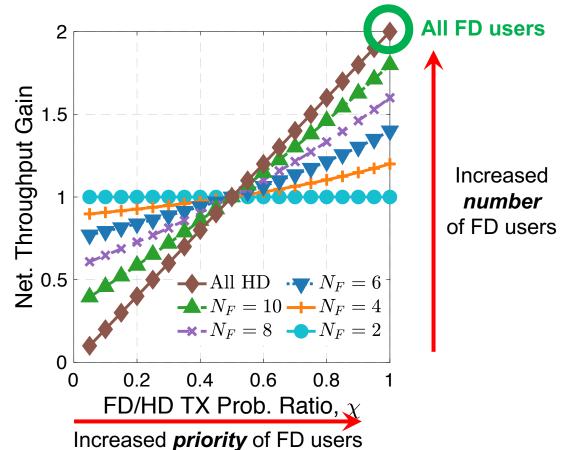
• Consider the a static CSMA algorithm with fixed transmission probabilities p_H and p_F for half-duplex and

full-duplex users. Let $p_F = \chi p_H$ with $\chi \in (0, 1]$

• With $p_H = 0.5$, throughput gain of the **network**:



A heterogeneous network with fixed N and varying N_F



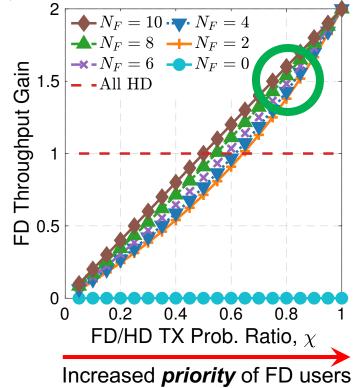
Introducing Full-Duplex Users – Everyone Gains!

• A *homogeneous* network with N=10 half-duplex users **vs.** A *heterogeneous* network with N_H half-duplex users and N_F full-duplex users ($N_H + N_F = N = 10$)

• Consider the a static CSMA algorithm with fixed transmission probabilities p_H and p_F for half-duplex and

full-duplex users. Let $p_F = \chi p_H$ with $\chi \in (0, 1]$

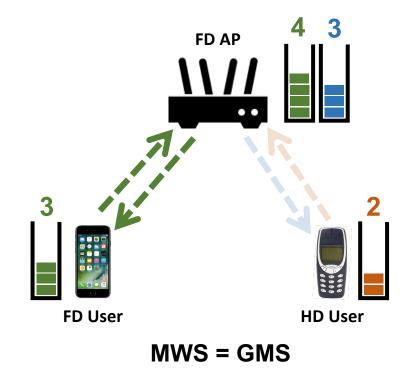
• With $p_H = 0.5$, throughput gain of *individual users*:

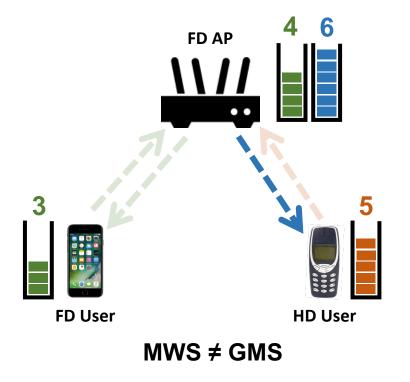


Even half-duplex users can gain! 4D Throughput Gain 5.0 Increased number of FD users 0.6 FD/HD TX Prob. Ratio, χ Increased *priority* of FD users

Scheduling Algorithms

- Max-Weight Scheduling (MWS) is throughput-optimal
 - Q-CSMA can be applied
- What about the Greedy Maximal Scheduling (GMS)?
 - The returned schedule may not be Max-Weight





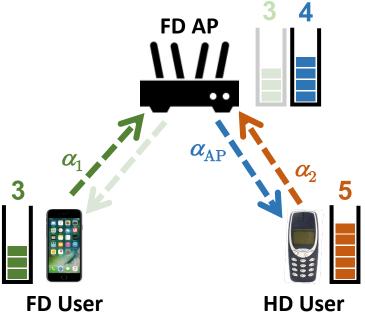
Scheduling Algorithms

- Max-Weight Scheduling (MWS) is throughput-optimal
 - Q-CSMA can be applied
- What about the Greedy Maximal Scheduling (GMS)?
 - The returned schedule may not be Max-Weight
- <u>Proposition</u>: The centralized Greedy Maximal Scheduling (GMS) algorithm is throughput-optimal in <u>any</u> collocated <u>heterogeneous</u> half-duplex and full-duplex networks
 - Proof is based on local-pooling

- Question: How to achieve GMS is a distributed manner?
- Solution: H-GMS a Hybrid scheduling algorithm that combines centralized GMS and distributed Q-CSMA

If the previous slot is an *idle* slot:

- **Step 1: Initiation** (centralized GMS at the AP)
 - The AP selects the downlink with the longest queue
 - The AP draws an *initiator link* from all the uplinks and the selected downlink according to an access probability distribution α



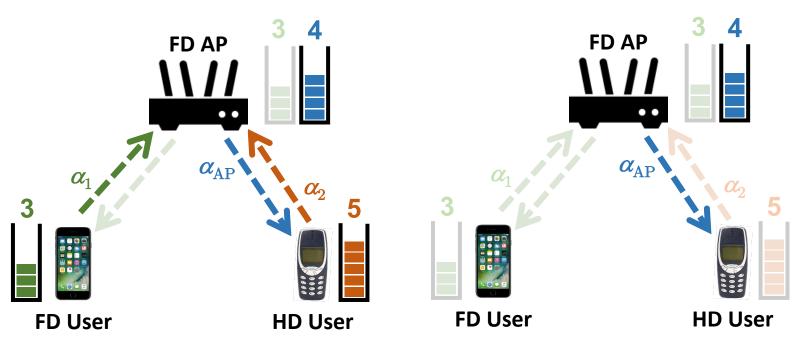
If the previous slot is an *idle* slot:

Step 1

- Step 2: Coordination (distributed Q-CSMA)
 - If link l is selected as the initiator link, it is activated w.p. $p(Q_l(t))$

Transmission probability and weight functions f(Q(t))

$$p(Q(t)) = \frac{\exp(f(Q(t)))}{1 + \exp(f(Q(t)))}$$



Step 2: if the HD downlink is selected

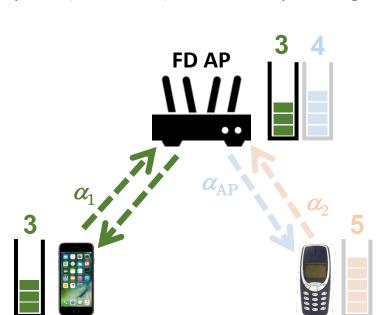
If the previous slot is an *idle* slot:

FD User

Step 2: Coordination (distributed Q-CSMA)

HD User

- If link l is selected as the initiator link, it is activated w.p. $p(Q_l(t))$
- If the initiator link is a full-duplex uplink (downlink), the corresponding downlink (uplink) will also be activated



HD User

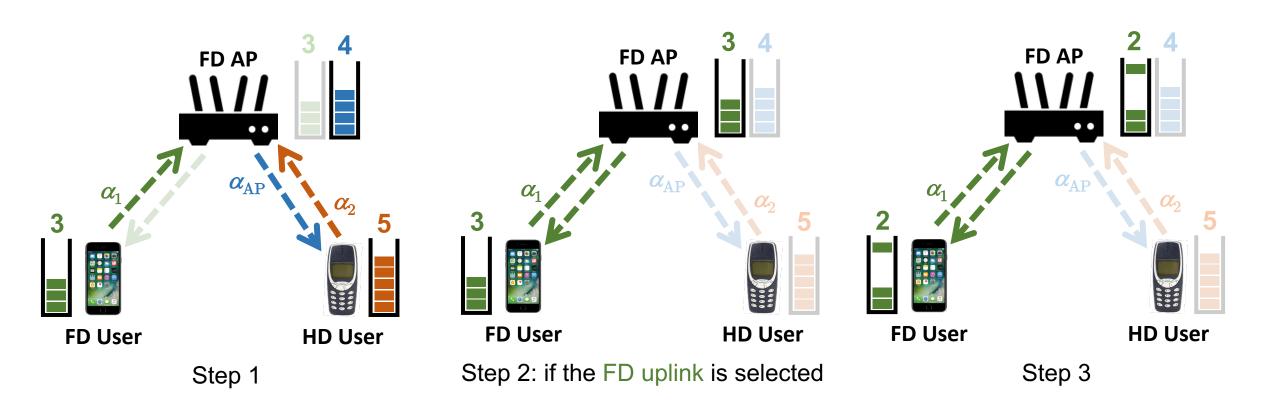
Step 1 Step 2: if the FD uplink is selected

FD User

Transmission probability and weight functions f(Q(t))

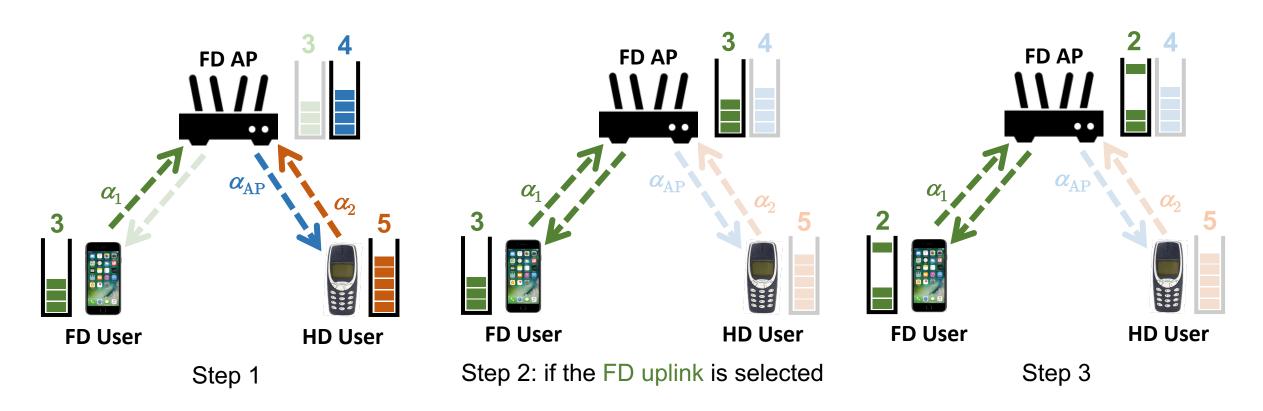
If the previous slot is an *idle* slot:

- Step 3: Transmission
 - One packet is transmitted on each activated link



If the previous slot is a **busy** slot:

• The AP keeps the same initiator link and repeats steps 2 & 3

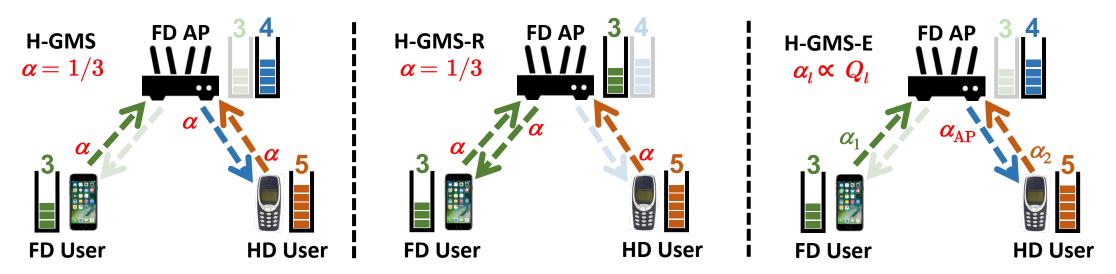


Main Results

- <u>Theorem</u>: For any arrival rate vector inside the capacity region, the system Markov chain (X(t), Q(t)) is positive recurrent under the H-GMS algorithm. The weight function f can be any nonnegative increasing function such that $\lim_{x\to\infty} f(x)/\log(x) < 1$ or $\lim_{x\to\infty} f(x)/\log(x) > 1$.
 - Proof is based on fluid limit analysis

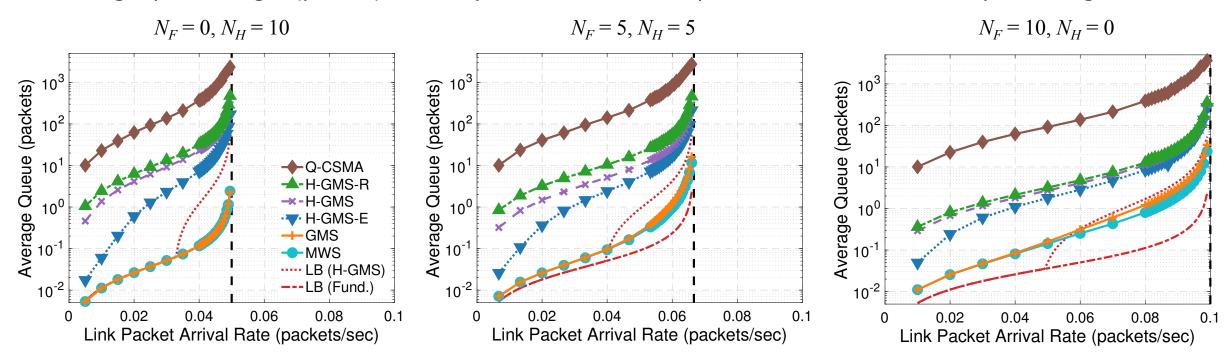
$$p(Q(t)) = \frac{\exp(f(Q(t)))}{1 + \exp(f(Q(t)))}$$

- Variants of *H-GMS*:
 - *H-GMS* (or *H-GMS-L*)
 - **H-GMS-R**: the AP selects a downlink queue uniformly at **R**andom, α is uniformly distributed
 - **H-GMS-E**: the AP selects the downlink with the longest queue, α is proportional to the **E**stimated uplink queues



Performance Evaluation – Queue Length

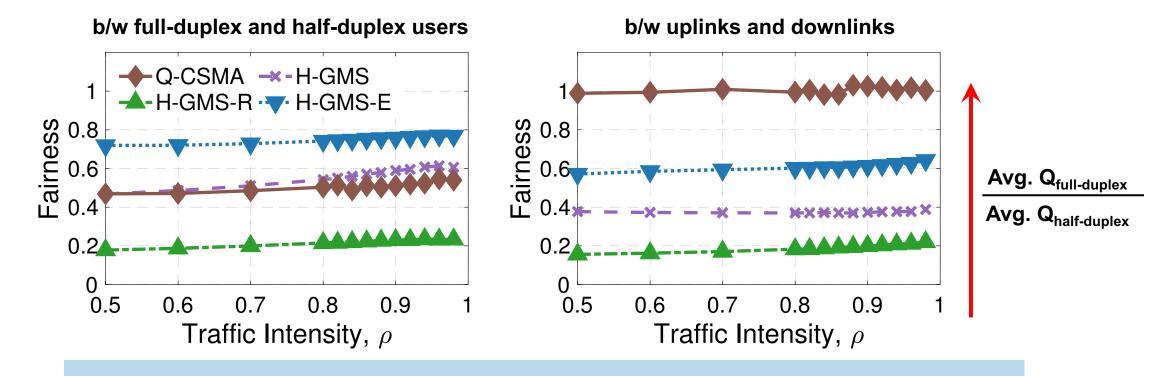
- Simulations with N = 10 users in a heterogeneous network
- Equal arrival rate on all the uplinks and downlinks
- Average queue length (packet) for every link and the developed lower bounds on the queue length



The largely reduced queue length resulted from (i) utilizing the centralized downlink queue information at the AP, and (ii) the introduction of full-duplex users

Performance Evaluation – Fairness

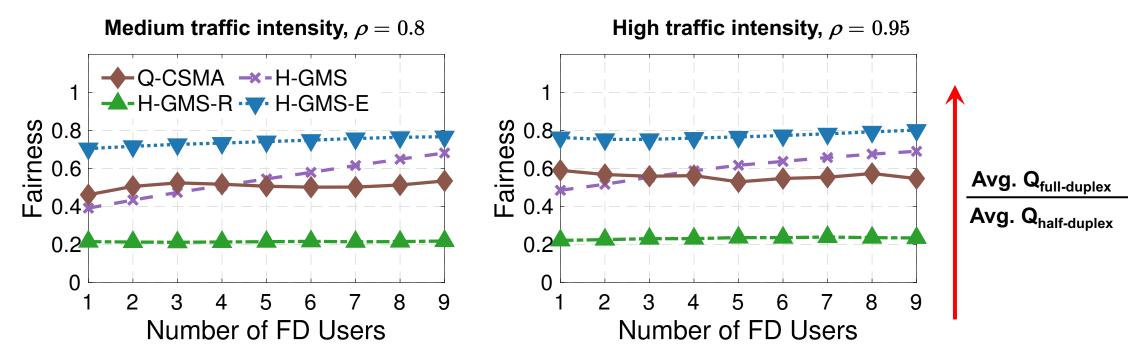
- Simulations with N=10 users with $N_F=N_H=5$ in a heterogeneous network
- Equal arrival rate on all the uplinks and downlinks with medium/high traffic intensity
- Fairness (i.e., ratio between the queue lengths)



H-GMS-L and **H-GMS-E** improve fairness by selecting the initiator link differently

Performance Evaluation – Effect of N_F

- Simulations with N=10 users with $N_F=N_H=5$ in a heterogeneous network
- Equal arrival rate on all the uplinks and downlinks with total arrival rate $\rho \in (0, 1]$
- *Fairness* under different values of N_F



Summary

- Scheduling in heterogeneous half-duplex and full-duplex wireless networks
- All the users can gain (even for half-duplex users!) in terms of throughput when introducing full-duplex users into legacy half-duplex networks
- **H-GMS** a hybrid scheduling algorithm combining centralized GMS and distributed Q-CSMA, and is proven to be throughput-optimal
- Performance evaluation of H-GMS in terms of delay and fairness
- Future directions:
 - Experimental evaluation using existing/customized full-duplex testbeds



FlexIoN

Thank you!

tingjun@ee.columbia.edu

http://www.ee.columbia.edu/~tc2668

Tingjun Chen, Jelena Diakonikolas, Javad Ghaderi, and Gil Zussman, "Fairness and Delay in Heterogeneous Half- and Full-Duplex Wireless Networks".



